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PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

ATTY DOCKET NO.: 5051-337DVCT

DATE: October 4, 2001

UTILITY PATENT APPLICATION TRANSMITTAL LETTER  
AND FEE TRANSMITTAL FORM (37 CFR 1.53(b))

BOX PATENT APPLICATION

Commissioner for Patents

Washington, DC 20231

Sir:

Transmitted herewith for filing under 37 CFR 1.53(b) is:

- ☒ a patent application  
☒ a Continuation ☐ a Divisional ☐ a Continuation-in-Part (CIP)  
 of prior application no.: 09/448,105, filed November 23 1999, which is a divisional of U.S. Patent Application No. 09/132,536 filed August 11, 1998, issued as U.S. Patent No. 6,040,498, which claims the benefit of U.S. Provisional Application No. 60/055,474 filed August 12, 1997  
☐ A Small Entity Statement(s) was filed in the prior application; Status still proper and desired.

Inventor(s) or Application Identifier:

Anne-Marie Stomp, Raleigh, North Carolina

Nirmala Rajbhandari, Raleigh, North Carolina

Entitled: **GENETICALLY ENGINEERED DUCKWEED**

Enclosed are:

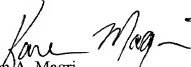
1. ☒ Application Transmittal Letter and Fee Transmittal Form *(A duplicate is enclosed for fee processing)*
2. ☒ 98 pages of Specification (including 39 claims)
3. ☒ (1) sheets of Formal Drawings (35 USC 113)
4. ☒ Oath or Declaration
  - a. ☐ newly executed (original or copy)
  - b. ☒ copy from prior application (37 CFR 1.63(d) (for continuation/divisional) [Note Box 5 Below]
  - c. ☐ DELETION OF INVENTOR(S) (Signed statement deleting inventor(s) named in the prior application)
5. ☒ Incorporation By Reference (useable if box 4b is checked)  
 The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. ☐ Microfiche Computer Program (Appendix)
7. ☒ Assignment papers (Filed in parent application)
8. ☐ Small Entity Statement(s)
9. ☒ Information Disclosure Statement, PTO-1449, and 85 references cited (references not enclosed)
10. ☐ Preliminary Amendment (Please enter all claim amendments prior to calculating the filing fee.)
11. ☐ English Translation Document
12. ☐ Certified Copy of Application No.

13. ☐ Sequence Listing/ Sequence Listing Diskette  
 a. ☐ computer readable copy  
 b. ☐ paper copy  
 c. ☐ statement in support
14. ☐ An Associate Power of Attorney
15. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
16. ☒ Other: Submission of Substitute Specification For Publication Under 36 U.S.C. § 122(B)  
 The fee has been calculated as shown below:

	Column 1 No. Filed	Column 2 No. Extra	Small Entity Rate Fee	Large Entity Rate Fee
BASIC FEE			\$370.00	\$740.00
TOTAL CLAIMS	39- 20 =	19	x 09 = \$	x 18 = \$342.00
INDEP CLAIMS	6-3 =	3	x 42 = \$	x 84 = \$252.00
<input type="checkbox"/> MULTIPLE Dependent Claims Presented			+ 140 = \$	+ 280 = \$
If the difference in Col. 1 is less than zero, Enter "0" in Col. 2			Total \$	Total: \$1334.00

- ☒ A check in the amount of \$1334.00 to cover the filing fee is enclosed.
- ☐ Please charge Deposit Account No. 50-0220 in the amount of to cover the filing fee.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-0220.
- ☒ Any additional filing fees required under 37 CFR 1.16.
- ☒ Any patent application processing fees under 37 CFR 1.17.

Respectfully submitted,

  
 Karen A. Magri  
 Registration No. 41,965

**Correspondence Address:**



20792

PATENT TRADEMARK OFFICE

**CERTIFICATE OF EXPRESS MAILING**

Express Mail Label No. EL 733096685 US

Date of Deposit: October 4, 2001

I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to: BOX PATENT APPLICATION, Commissioner for Patents, Washington, DC 20231.



Traci A. Brown  
 October 4, 2001